2021 Top 5 brokers selected for execution

Report on orders placed in 2021

BANQUE TRANSATLANTIQUE LUXEMBOURG

Dear Customer,

You will find below the publication relating to the execution of securities orders, and more precisely the list of our 5 most requested brokers according to the nature of the financial security and the classification of the investor.

A technical constraint does not allow our Bank to show information regarding the percentage of aggressive and passive orders for certain brokers. The Bank apologizes for the inconvenience.

Your private banker is at your disposal for more information.

Banque Transatlantique Luxembourg

# Shares and similar instruments – shares & certificates representing shares

**Tick-size liquidity 1&2, 3&4, 5&6** 

### Retail clients Tick-size liquidity 5&6 (+1999 orders per day):

Class of instrument		uities – Shares & Depositary Receipts ck size liquidity bands 5 and 6 (from 2000 trades per day)					
Notification if <1 average trade per business day in the previous year	N						
Top five traders selected	Proportion of volume traded						
Ranked by trading volumes	as a percentage of total in	Proportion of orders executed as a percentage of total in that class		Percentage of aggressive orders	Percentage of directed orders		
(descending order)	that class	a percentage of total in that diass					
969500WM8LJ7ZN7I9K64 RAYMOND JAMES EURO EQUITIES	61,10%	41,85%	10,94%	24,24%	3,66%		
N4JDFKKH2FTD8RKFXO39 CREDIT INDUSTRIEL ET COMMERCIAL	25,52%	27,78%	5,05%	82,61%	1,66%		
969500219DJHZ3449O66 ODDO BHF SCA	6,74%	20,47%	40,81%	59,03%	0,39%		
9695005EOZG9X8IRJD84 KEPLER CHEUVREUX	3,73%	5,32%	42,51%	54,19%	2,10%		
5RJTDGZG4559ESIYLD31 AUREL BGC	2,90%	4,58%	36,24%	63,76%	2,79%		

### Professional clients Tick-size liquidity 5&6 (+1999 orders per day):

Class of instrument	Equities – Shares & Depositary Receipts Tick size liquidity bands 5 and 6 (from 2000 trades per day)				
	PROFESSIONAL CLIENTS				
Notification if <1 average trade per business day in the previous year	N				
Top five traders selected  Ranked by trading volumes  (descending order)	'	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
969500WM8LJ7ZN7I9K64 RAYMOND JAMES EURO EQUITIES	61,73%	47,97%	1,57%	30,24%	0,25%
N4JDFKKH2FTD8RKFXO39 CREDIT INDUSTRIEL ET COMMERCIAL	22,16%	24,42%	5,61%	80,18%	0,65%
969500219DJHZ3449O66 ODDO BHF SCA	8,14%	14,99%	35,71%	64,15%	0,00%
9695005EOZG9X8IRJD84 KEPLER CHEUVREUX	4,25%	5,39%	34,56%	62,87%	3,31%
5RJTDGZG4559ESIYLD31 AUREL BGC	3,73%	7,23%	39,56%	59,89%	0,00%

### Retail clients Tick-size liquidity 3&4 (80 to 1999 orders per day):

Class of instrument	1	uities – Shares & Depositary Receipts ck size liquidity bands 3 and 4 (from 80 to 1999 trades per day)					
Notification if <1 average trade per business day in the previous year	N						
Top five traders selected  Ranked by trading volumes  (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders		
N4JDFKKH2FTD8RKFXO39 CREDIT INDUSTRIEL ET COMMERCIAL	60,54%	75,47%	4,64%	14,22%	0,00%		
969500219DJHZ3449O66 ODDO BHF SCA	22,33%	17,41%	98,05%	0,65%	13,64%		
969500WM8LJ7ZN7I9K64 RAYMOND JAMES EURO EQUITIES	11,46%	3,17%	3,57%	7,14%	0,00%		
9695005EOZG9X8IRJD84 KEPLER CHEUVREUX	5,67%	3,95%	25,71%	74,29%	0,00%		

### Professional clients Tick-size liquidity 3&4 (80 to 1999 orders per day):

Class of instrument	Equities – Shares & Depositary Receipts Tick size liquidity bands 3 and 4 (from 80 to 1999 trades per day)					
	PROFESSIONAL CLIENTS					
Notification if <1 average trade per business day in the previous year	N					
Top five traders selected Ranked by trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
N4JDFKKH2FTD8RKFXO39 CREDIT INDUSTRIEL ET COMMERCIAL	48,23%	72,70%	0,97%	22,98%	0,00%	
969500WM8LJ7ZN7I9K64 RAYMOND JAMES EURO EQUITIES	31,34%	4,22%	0,00%	0,00%	0,00%	
969500219DJHZ3449O66 ODDO BHF SCA	14,14%	22,13%	100,00%	0,00%	0,00%	
9695005EOZG9X8IRJD84 KEPLER CHEUVREUX	3,65%	0,71%	33,33%	66,67%	0,00%	
5RJTDGZG4559ESIYLD31 AUREL BGC	2,64%	0,25%	0,00%	0,00%	0,00%	

### Retail clients Tick-size liquidity 1&2 (<80 orders per day):

	Equities – Shares & Depositary Receipts					
	Tick size liquidity band 1 and 2 (from 0 to 79 trades per day)					
Class of instrument						
	RETAIL CLIENTS					
Notification if <1 average trade per business day in the previous year	N					
Top five traders selected	Proportion of volume traded		5			
Ranked by trading volumes	as a percentage of total in	Proportion of orders executed as a percentage of total in that class	0 '	Percentage of aggressive orders	Percentage of directed orders	
(descending order)	that class	a persentage or total in that slass				
9695005EOZG9X8IRJD84	34,81%	34,63%	14,29%	84,69%	7,14%	
KEPLER CHEUVREUX	31,0170	3 1,0370	11,2370	0 1,0370	7,2170	
969500WM8LJ7ZN7I9K64	34,00%	47,00%	7,52%	13,53%	0,00%	
RAYMOND JAMES EURO EQUITIES	3 1,0075	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	7,0270	10,0070	0,0070	
N4JDFKKH2FTD8RKFXO39	30,57%	15,90%	13,33%	44,44%	0,00%	
CREDIT INDUSTRIEL ET COMMERCIAL	30,3770	13,3070	10,0070	11,1170	0,0070	
969500219DJHZ3449O66	0,52%	1,76%	0,00%	20,00%	0,00%	
ODDO BHF SCA	0,3270	1,7070	0,0070	20,0070	0,0070	
5RJTDGZG4559ESIYLD31	0,10%	0,71%	50,00%	0,00%	0,00%	
AUREL BGC	0,1070	5,. 170		3,5070	0,0070	

### Professional clients Tick-size liquidity 1&2 (<80 orders per day):

Class of instrument	I .	quities — Shares & Depositary Receipts ck size liquidity band 1 and 2 (from 0 to 79 trades per day)  ROFESSIONAL CLIENTS						
Notification if <1 average trade per business day in the previous year	N							
Top five traders selected  Ranked by trading volumes  (descending order)	· ·	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders			
969500WM8LJ7ZN7I9K64 RAYMOND JAMES EURO EQUITIES	30,23%	28,49%	4,65%	2,33%	0,00%			
9695005EOZG9X8IRJD84 KEPLER CHEUVREUX	25,28%	54,30%	6,10%	93,90%	1,22%			
N4JDFKKH2FTD8RKFXO39 CREDIT INDUSTRIEL ET COMMERCIAL	22,61%	15,90%	12,50%	16,67%	0,00%			
969500219DJHZ3449O66 ODDO BHF SCA	21,88%	1,32%	0,00%	0,00%	0,00%			

#### **Debt Instruments**

#### **Bonds**

#### **Retail Clients**

	Debt instruments					
Class of instrument	Bonds RETAIL CLIENTS					
Notification if <1 average trade per business day in the previous year	Υ					
Top five traders selected  Ranked by trading volumes  (descending order)	as a nercentage of foral in	Proportion of orders executed as a percentage of total in that class		Percentage of aggressive orders	Percentage of directed orders	
5299000UUYW66L5LT560 MarketAxess NL B.V.	52,15%	36,01%	0,00%	0,00%	0,00%	
969500AMLHB21RACL168 HPC	34,47%	48,01%	0,00%	0,00%	20,83%	
ROMUWSFPU8MPRO8K5P83 BNP PARIBAS	9,46%	13,99%	0,00%	0,00%	0,00%	
O2RNE8IBXP4R0TD8PU41 SOCIETE GENERALE	3,92%	1,99%	0,00%	0,00%	0,00%	

#### **Bonds**

#### **Professional clients**

	Debt instruments						
Class of instrument	Bonds PROFESSIONAL CLIENTS						
Notification if <1 average trade per business day in the previous year	Y						
Top five traders selected  Ranked by trading volumes  (descending order)	1 .	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders		
969500AMLHB21RACL168 HPC	58,43%	49,21%	0,00%	0,00%	9,68%		
5299000UUYW66L5LT560 MarketAxess NL B.V.	34,67%	38,11%	0,00%	0,00%	0,00%		
ROMUWSFPU8MPRO8K5P83 BNP PARIBAS	4,82%	9,53%	0,00%	0,00%	0,00%		
N4JDFKKH2FTD8RKFXO39 CREDIT INDUSTRIEL ET COMMERCIAL	1,83%	1,58%	0,00%	0,00%	0,00%		
O2RNE8IBXP4R0TD8PU41 SOCIETE GENERALE	0,25%	1,58%	0,00%	0,00%	0,00%		

#### **Securitized Derivatives**

**Warrants and Certificates Derivatives** 

#### **Warrants and Certificates Derivatives**

#### **Retail Clients**

Class of instrument	ecuritized Derivatives Varrants and Certificate Derivatives ETAIL CLIENTS					
Notification if <1 average trade per business day in the previous year	Υ					
Top five traders selected Ranked by trading volumes (descending order)		Proportion of orders executed as a percentage of total in that class		Percentage of aggressive orders	Percentage of directed orders	
O2RNE8IBXP4R0TD8PU41 SOCIETE GENERALE	46,76%	38,46%	0,00%	0,00%	0,00%	
9695005EOZG9X8IRJD84 KEPLER CHEUVREUX	31,35%	46,14%	16,67%	66,67%	0,00%	
969500AMLHB21RACL168 HPC	21,02%	7,70%	0,00%	0,00%	100,00%	
N4JDFKKH2FTD8RKFXO39 CREDIT INDUSTRIEL ET COMMERCIAL	0,87%	7,70%	0,00%	100,00%	0,00%	

#### **Warrants and Certificates Derivatives**

#### **Professional Clients**

Class of instrument	ecuritized Derivatives Varrants and Certificate Derivatives ROFESSIONAL CLIENTS					
Notification if <1 average trade per business day in the previous year	Υ					
Top five traders selected  Ranked by trading volumes  (descending order)		Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
PSZXLEV07O5MHRRFCW56 BANQUE DE LUXEMBOURG	66,53%	11,12%	0,00%	0,00%	0,00%	
O2RNE8IBXP4R0TD8PU41 SOCIETE GENERALE	13,59%	22,21%	0,00%	0,00%	0,00%	
5299000UUYW66L5LT560 MarketAxess NL B.V.	9,75%	22,21%	0,00%	0,00%	0,00%	
9695005EOZG9X8IRJD84 KEPLER CHEUVREUX	9,60%	33,33%	100,00%	0,00%	0,00%	
969500AMLHB21RACL168 HPC	0,53%	11,12%	0,00%	0,00%	100,00%	

#### **Exchange Traded Products**

**Exchange Traded Funds (ETF)** 

#### **Exchange Traded Funds (ETF)**

#### **Retail Clients**

	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Class of instrument					
	RETAIL CLIENTS				
Notification if <1 average trade per business day in the previous year	Υ				
Top five traders selected	Proportion of volume traded	Donas dia a fandam ana anta da a	Daniel de la companya	Danish and familia	Developed of discreted
Ranked by trading volumes	as a percentage of total in	Proportion of orders executed as a percentage of total in that class		Percentage of aggressive orders	Percentage of directed orders
(descending order)	that class	a personage or total in that slass			
9695005EOZG9X8IRJD84	50,79%	63,33%	26,32%	73,68%	0,00%
KEPLER CHEUVREUX	30,7370	03,3370	20,3270	73,0070	0,0070
N4JDFKKH2FTD8RKFXO39	31,21%	18,32%	0,00%	45,45%	0,00%
CREDIT INDUSTRIEL ET COMMERCIAL	31,2170	10,3270	0,0070		0,0070
969500WM8LJ7ZN7I9K64	7,53%	13,35%	0,00%	12,50%	0,00%
RAYMOND JAMES EURO EQUITIES	7,3370	13,3370	0,0070	12,30%	0,00%
9695002I9DJHZ3449O66	7,50%	3,34%	0,00%	0,00%	0,00%
ODDO BHF SCA	7,30%	5,54%	0,00%	0,00%	0,00%
5RJTDGZG4559ESIYLD31	2,97%	1,67%	100,00%	0,00%	0,00%
AUREL BGC	2,37/0	1,0770	100,0070	0,0070	0,0070

#### **Exchange Traded Funds (ETF)**

#### **Professional Clients**

	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)					
Class of instrument						
	PROFESSIONAL CLIENTS					
Notification if <1 average trade per business day in the previous year	Υ					
Top five traders selected	Proportion of volume traded as	Proportion of orders executed				
Ranked by trading volumes	a percentage of total in that	as a percentage of total in that	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders	
(descending order)	class	class	0.00.0	0.00.0	0.00.0	
PSZXLEV07O5MHRRFCW56	41,14%	29,51%	16,67%	52,78%	0,00%	
BANQUE DE LUXEMBOURG	71,1470	23,3170	10,0770	32,7670	0,00%	
969500WM8LJ7ZN7I9K64	29,52%	45,90%	1,79%	5,36%	0,00%	
RAYMOND JAMES EURO EQUITIES	29,3270	45,50%	1,7370			
N4JDFKKH2FTD8RKFXO39	26,54%	22,94%	0,00%	67.060/	0.000/	
CREDIT INDUSTRIEL ET COMMERCIAL	20,34%	22,94%	0,00%	67,86%	0,00%	
9695002I9DJHZ3449O66	2,80%	1,64%	0,00%	0,00%	0,00%	
ODDO BHF SCA	2,80%	1,04%	0,00%	0,00%	0,00%	

#### **Other instruments**

#### **Other instruments**

#### **Retail Clients**

	Other instruments						
Class of instrument							
	RETAIL CLIENTS						
Notification if <1 average trade per business day in the previous year	Y						
Top five traders selected	Proportion of volume traded						
Ranked by trading volumes	as a nercentage of total in that			Percentage of aggressive orders	Percentage of directed orders		
(descending order)							
ROMUWSFPU8MPRO8K5P83	44,27%	59,37%	0,00%	0,00%	2,63%		
BNP PARIBAS	77,2770	33,3770	0,0070	0,0070	=,5576		
969500AMLHB21RACL168	37,66%	17,19%	0,00%	0,00%	9,09%		
HPC							
N4JDFKKH2FTD8RKFXO39	13,47%	18,75%	33,33%	16,67%	0,00%		
CREDIT INDUSTRIEL ET COMMERCIAL							
PSZXLEV07O5MHRRFCW56	2,87%	3,13%	0,00%	0,00%	50,00%		
BANQUE DE LUXEMBOURG							
KX1WK48MPD4Y2NCUIZ63	1,74%	1,56%	0,00%	0,00%	0,00%		
NATIXIS							

#### **Other instruments**

#### **Professional Clients**

	Other instruments						
Class of instrument							
	PROFESSIONAL CLIENTS						
Notification if <1 average trade per business day in the previous year	Y						
Top five traders selected	Proportion of volume traded as	Proportion of orders executed	5				
Ranked by trading volumes	'	as a percentage of total in that	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders		
(descending order)	class	class					
ROMUWSFPU8MPRO8K5P83	68,10%	64,61%	0,00%	0,00%	4,76%		
BNP PARIBAS							
969500AMLHB21RACL168	25,32%	18,47%	0,00%	0,00%	0,00%		
HPC							
PSZXLEV07O5MHRRFCW56 BANQUE DE LUXEMBOURG	5,88%	1,54%	0,00%	0,00%	0,00%		
969500WM8LJ7ZN7I9K64	0,39%	10,77%	0,00%	57,14%	0,00%		
RAYMOND JAMES EURO EQUITIES							
O2RNE8IBXP4R0TD8PU41 SOCIETE GENERALE	0,31%	4,62%	0,00%	0,00%	0,00%		

## BANQUE TRANSATLANTIQUE LUXEMBOURG

Boston Bruxelles Genève Hong Kong Londres Luxembourg Montréal New York Paris San Francisco Singapour